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REPORT OF THE
MONTANA MENTAL DISABILITIES
BOARD OF VISITORS
ON
SOUTHWEST MONTANA MENTAL HEALTH CENTER

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STATE OF MONTANA
Office of the Governor
Mental Disabilities Board of Visitors

Thomas L. Judge
Governor

325 Power Block - Helena, Montana 59601
(406) 449-3255

This report of the Southwest Montana Mental Health Center, Helena, Montana, is the result of a site visit made by the Mental Disabilities Board of Visitors and its consultants on November 17 and 18, 1977. Conducting this site visit were Board members: Al Bertelsen, Patricia Boedecker, Virginia Kenyon, Dr. Rummel, and Dr. Stimpfling; the Board's staff person, Kelly Moorese, as well as the in-state consultants, Dr. William J. Docktor, Clinical Pharmacist, Missoula, Montana and Dr. Richard Swenson, Clinical Psychologist, Helena, Montana.

The purpose of this site visit was to review the treatment programs, files and other issues pertinent to the Mental Commitment and Treatment Act of 1975, R.C.M., 1947.

Members of the Center meeting with the Board of Visitors included: Mr. Joe Harrington, Executive Director; Mr. Jim Foley, Administrative Officer; Dr. Dan Frelund, Business Manager; Dr. Bailey Molineux, Director of Consultation and Education; Dr. David Briggs, Helena Satellite Director; Dr. Francine Larson, psychiatrist; Mr. Jim Scott, Addictive Disease Coordinator; Dr. Ben Peters, Butte Satellite Director and Mr. Jim Sanddal, Chairman of the Region's Governing Board.

After this report is presented to the Director of Region , it shall be made part of the annual report of the Board of Visitors to the Honorable Governor of the State of Montana.



Board of Visitors Review of the
Southwest Montana Mental Health Center
Helena, Montana
November 17 and 18, 1977

OVERVIEW OF THE REGION

The Southwest Montana Mental Health Center was established in 1974. This area includes twelve counties and an estimated population of 185,000 people. At the time of this site visit, ten of the twelve counties of this catchment area participate in Region IV programs. The Regional staff states that although Gallatin and Powell counties are non-participating members of the Region, services are provided to approximately one hundred residents of the two counties, at various satellite centers.

The outpatient clinics of the Region provide full-time service to the communities of Helena, Butte, Anaconda and Livingston. In addition, services are offered one day a week in Townsend, Philipsburg, Boulder, Whitehall and White Sulphur Springs, and two days per week in Dillon. Outpatient services include individual and group therapy, family therapy, diagnostic evaluations, marriage counseling, day treatment programs and emergency consultation. Inpatient facilities for the Region are located in Butte, Helena and Livingston hospitals.

The Satellite offices in Helena, Butte, Anaconda and Livingston provide emergency services on a twenty-four hour basis, seven days a week.

RECORDS

The client admission form used at the Southwest Montana Mental Health Center reflects adequate in-take data. Information is collected regarding the initial contact, admission date and the reason for any difference between the two dates. This information is valuable for accountability purposes. In reviewing the files of the Helena Satellite office, the Board's consultants noted that a number of the center's clients had been placed on a waiting list. (Appendix A) In response to that concern the Center's staff stated that some professionals have built up such patient loads that an individual requesting to see a specific therapist must be placed on a waiting list.

In pursuing the issue of a waiting list and the inconsistency of records maintained (see Treatment plans) the Board made inquiries as to the break-down of hours spent by the staff. The following statistics were given to the Board: 27% = face to face contact with clients; 31% = indirect hours, consultation; and 42% = time spent in administration, meetings and record keeping. It would seem that some balance needs to be achieved to reconcile the differences between direct contact with patients, waiting lists, and the time spent in administration and record keeping, so that the intent of The Mental Commitment and Treatment Act (Title 38) may be met.

Treatment Plans

The Board's consultant, Dr. Richard Swenson, observed that most of the files reviewed did contain a treatment plan. However there were often two sources for this kind of information. Some

files contained a document entitled "Intake Notes", which had a small section headed "treatment plan", while most files contained a document entitled "Service Plan". The Service Plan is a significant step in the direction of compliance with Montana Law, as its purpose is to report the client's chief complaint, goals, methods, dates and the name of the primary therapist. Appendix A, however, indicates several of the files reviewed contained no information on the service plan. In most cases those files which did have a Service Plan, did not reflect an up-dated appraisal or any modifications of a client's treatment program. (38-1328 (6) and (38-1328 (7), R.C.M.)

Dr. Swenson also reported that some files had information missing, progress notes which were out of order and some files contained material on a different client. The Board's consultant also noted that there was some confusion by the therapists regarding the definitions of "goal", "objective", and "method". Some files listed methods (e.g. group therapy) under goals (e.g. improve social interaction skills) and vice versa. The Board expressed concern with this matter in that it may affect a well integrated program of treatment and care for the center's clients.

The Annual Report of this region states that a review of services is provided. In the files reviewed by the Board of Visitors, it was difficult to assure that a periodic review of treatment does exist. A column added to the Service Plan form to indicate dates of review and the initials of the reviewer(s) shall reflect such services offered by the center. The Board also noted that there was no tickler file with which to re-establish contact with patients who had not been seen in some time. Yet in

many cases it appeared such persons should be followed up as their file was maintained on the active list. (Appendix B)

Medical Records

From a pharmacology standpoint, the Board's consultant, William J. Docktor, noted a lack of medical history on record in the patient files. (38-1328(9) R.C.M.) The Board's consultant stated that such a history will

enable the psychiatrist to avoid repeating a trial of a drug that was ineffective in the past. The medication history should also include all other medications taken on a chronic basis (e.g. thyroid supplements) or frequent intermittent basis (e.g. decongestants). This is important to avoid drug-drug interactions. The patients psychosis may be completely reversed by withdrawing the offending drug.

In reviewing patient files, Dr. W. J. Docktor further noted that although the more recent charts did contain a medical questionnaire, the initial work up should also contain a statement regarding allergy to drugs and chemicals, food etc. With such information the psychiatrist is able to avoid harmful drugs or is able to provide prophylaxis.

The Board's consultant reports that in two cases an appropriate indication or use of each drug could not be determined. (0177-0949 and 0177-0950) In other files reviewed the diagnosis was consistent with the medication. There were no contraindications, drug interactions or dosage errors found in those patients who were taking antipsychotic medication.

In those cases where medications were prescribed, the prescribing physician was not specifically stated. Presumably the staff psychiatrist is responsible for these medications.

Dr. William Docktor further states there was usually no evidence within the chart that the psychiatrist ever had direct contact with the patient. Also lacking was a medication record. This record is essential in providing a medication history and a current medication list. (38-1328(9)). The Board's consultant notes that such a record is extremely useful when trying to correlate a change in the patients status with changes in his or her drug regimen. In further accessing a patient's treatment plan it was difficult to ascertain whether the medical and social services staff, who saw the patient worked jointly with integrating all modalities of treatment. This information was lacking, or at least not documented in the files reviewed.

TREATMENT FACILITIES

The clinical office, located on Logan Street is nicely furnished and accessible to the residents of Helena. The Alcoholism program, which is also located in this building, provides emergency detoxification, individual, group and family counseling, education and prevention programs. An intermediate care facility located near Livingston houses a maximum of eight residents.

A Transition Home has been in operation in Helena since September of 1976. The purpose of such a facility is to provide support to individuals returning to the community from Warm Springs State Hospital and to aid in their rehabilitation. To date the Transitional Home has served twenty-eight persons. Of those, fifteen were discharged into independent living situations, two to Nursing Homes, four returned to Warm Springs and three remained at the Transitional Home. Although the Board commends the efforts

of the volunteer group who renovated this facility, the Board found this transitional home rather spacious, not "home-like", and not accessible to the physically handicapped.

The Day Treatment facilities are located on the second floor of the Transitional Home. This program serves an average of nine persons, with a maximum capacity of fourteen. The treatment staff offers a morning program which includes recreational therapy, socialization and basic living skills training.

Butte Satellite Office

The Board of Visitors continued its site visit of Region IV by meeting with Dr. Ben Peters, Director of the Butte Center; Mr. Craig Miller, Day Treatment Program; Barbara Pierce, R.N. and Aftercare Worker; and Dr. Stancliffe, psychiatrist.

The Butte facilities are located in the former St. James Hospital. Although the Center is accessible to the residents of this community, there was only one small sign on the main door of the building identifying it as a Mental Health Center.

This center maintains a close relationship with Warm Springs State Hospital and other community agencies that affect the well-being of their clients. Groups, including reality orientation, current events and culture are provided by the Aftercare worker to former Warm Springs patients residing in the Nursing Homes. This Board was impressed with such activities and a staff who seemed interested in promoting the quality of life to those they served.

The record system in this facility was lacking and needs to be brought up to date in the area of treatment, service plans, discharge summaries and follow-up. Here again, the Board found no evidence of a tickler file.

The Day Treatment program, located in basement of this building, is nicely furnished and utilized by a number of people. On the day the Board visited this program, approximately fifteen people were actively involved in their programs. The Day Treatment of Butte, because of its close proximity to Warm Springs State Hospital, provides pre-discharge services to residents of Warm Springs. The Board commends this service and the efforts of the staff.



APPENDIX A

Patient Number	Placed on Waiting List	Service Plan Absent	No follow up on	No follow up on
		38-1328(6) 38-1328(7) R.C.M.	Drug Abuse History 38-1328(9) R.C.M.	Adverse reaction or allergy history 38-1328(9) R.C.M.
0177-1151	X	X	X	X
0177-0778		X		
0177-1134		X	X	
0177-1137	X		X	X
0177-1147	X	X	X	
0177-1144				X
0177-1148			X	X
0177-1142	X		X	X
0177-0949		X	X	
0177-0950		X	X	X
0177-1159	X			X
0177-0946		X		
0177-1139	X			
0177-1143	X			
0177-1136	X			
0177-1133			X	
0177-1146	X			



APPENDIX B

Additional concerns were shared by the Board and its consultant's when file review disclosed the following information:

Patient Number	
0177-0980	Center cancelled the appointment twice
0177-0949 & 0177-0950	Contain identical program notes (One is a photocopy of the other; with one of the two names crossed out)
0177-0976	No primary therapist indicated on treatment plan (38-1328[16]) R.C.M.
5057 (Closed file)	Admitted only for an evaluation; however was kept on record as a client for 18 months
3790 (Closed file)	Adequate record keeping, however, this former WSSH patient has an extensive history of personality problems. Her case was closed in October, 1977 since she had not shown up for over three months. No indication of follow-up.
0177-1139	No documentation on chart of patient educa- tion regarding the medication prescribed. With such medication, especially the Antabuse, this is extremely important.



APPENDIX C

VITA

Richard P. Swenson Ph. D.



VITA

NAME: Richard P. Swenson
 ADDRESS: 1527 Choteau Street
 Helena, Montana 59601
 TELEPHONE: (406) 442-2064
 PLACE OF BIRTH: Kalispell, Montana 59901
 DATE OF BIRTH: April 23, 1944
 MARITAL STATUS: Married: Wife, Rita
 Occupation: Speech Pathologist
 CHILDREN: Eric Swenson, age 2½ years

ACADEMIC TRAINING:

University of Montana	B.A. (with honors)	Psychology	June, 1967
University of Montana	M.A.	Psychology	March, 1970
University of Montana	Ph.D.	Psychology	March, 1972
Major:	Human Learning		
Minor:	Social Psychology		

Special Honors or Activities:

Recipient of the W.T. Book Award, awarded by the psychology faculty at the University of Montana to the graduating psychology major who shows the most promise in the field of research, 1967.

Recipient of National Institutes of Mental Health Traineeship, 1968-1971.

Consulting Reader for Psychological Reports and Perceptual and Motor Skills, 1974-1977

PROFESSIONAL AFFILIATIONS:

Member: Montana Psychological Association
 Member: American Psychological Association
 Member: Division 25 (Experimental Analysis of Behavior)
 Member: Division 33 (Mental Retardation)
 Member: Mental Retardation Association of Utah

Member: Association for the Advancement of Behavior Therapy
 President: AABT, Montana Affiliate, 1973-74; 1976-77.

Member: American Association on Mental Deficiency
 Chairman: Region IV AAMD, Psychology Division

Faculty Affiliate: University of Montana Psychology Department (since 1974)



LICENSING/CERTIFICATION:

Licensed Psychologist (by examination) by the Montana State Board of Psychologist Examiners (since 1974).

Registered as a health service provider by the Council for the National Register of Health Service Providers in Psychology. (This council is affiliated with the American Board of Professional Psychology and is a type of National Licensing/Certification.)

Certified as a Professional Person by State of Montana, since 1976 (for purposes of evaluating developmentally disabled persons, supervising habilitation plans and other functions specified by Montana State law).

PROFESSIONAL EXPERIENCE:

Teaching Assistant, Department of Psychology, University of Montana, Jan. - June, 1968.

Laboratory Assistant, Department of Psychology, University of Montana, Jan. - Apr., 1971.

Instructor, Department of Psychology, University of Montana, 1971-72. Taught courses in Learning, Experimental Psychology, and Motivation.

My pre-doctoral research experience at the University of Montana was involved primarily with informational or verbal-perceptual factors in human learning. In addition, I was involved in clinical applications of applied behavior analysis from March to July, 1972. These activities were supervised by Dr. John H. Atthowe, Jr., of the University of Montana (currently at Rutgers University) for purposes of satisfying Montana State psychologist licensing requirements. The work involved developing behavior management programs for behaviorally disordered children in the school system and the home.

From July, 1972 to October, 1975 I was director of a federally funded training program at Boulder River School and Hospital, Boulder, Montana. From its beginning, the program grew from a staff of about 12 BA-level paraprofessional workers and a budget of \$150,000 to a staff of 25 (including 8 MA-level positions) and an annual budget of \$280,000. Aspects of the project included programming for self-help, social and community living skills, classroom intervention for the developmentally disabled residents of the institution, and behavior management programs for parents of developmentally disabled persons within the community. During this time I served also as supervisor of psychological services at Boulder River School and Hospital, which involved supervision of all psychological evaluation activities and specialized behavior modification programs for severely behaviorally disordered residents.

From December of 1973 to August 1974, I served as Coordinator of Program Development for the Bureau of Social and Behavioral Services at Boulder River School. In this capacity I was responsible for program planning for all new admissions and supervised programmatic aspects of several departments, including educational services, speech pathology, recreation, and a program for deaf and blind residents. (Note: this position was held concurrently with federally-funded project position but with reduced responsibilities on the latter).

From October, 1975 to July 1976 I was Director of Habilitative Services at Boulder River School and Hospital. Duties included administration of a 3.9 million dollar per year budget and a department with approximately 300 employees. In addition, I was responsible for the facility's human rights committee and other programmatically related functions.

From July 1976 to November 1977, I was director of the Habilitation and Evaluation Bureau of the Developmental Disabilities Division of the Department of Social and Rehabilitation Services. In this capacity I was responsible for institutional placement and service coordination for DD clients returning to community settings, development of systems for individualized habilitation and individualized program planning, including procedures, a data-based computerized reporting system, development of a client tracking and identification system, development of program evaluation measures, co-supervision of staff training contracts, information dissemination and various other programmatically-related functions. Also, I conducted numerous workshops on habilitation planning, legal and ethical considerations in programming, and behavioral/educational programming techniques.

As a faculty affiliate of the University of Montana since 1974, I have taught several courses for graduate and undergraduate credit:

- Psychology 110 - Introduction to Psychology (5 credits), Spring, 1973.
- Psychology 390 - Psychological Investigation (6 credits), Spring, 1976.
- Psychology 391 - Readings in Psychology (3 credits), Spring, 1976
- Psychology 491 - (Topical Seminar) The Experimental Psychology of Mental Retardation (3 credits) Spring, 1974 and again Summer, 1975.
- Psychology 491 - (Topical Seminar) Habilitative Strategies for the Mentally Retarded (3 credits), Winter, 1976.

PUBLICATIONS AND PAPERS:

- Swenson, R.P., & Hill, F.A. Effects of instructions and interstimulus interval in human GSR conditioning. Paper presented at the meeting of the Rocky Mountain Psychological Association, Salt Lake City, 1970.
- Swenson, R.P., & Hill, F.A. Effects of stimulus prediction in classical GSR conditioning. Paper presented at the meeting of the Rocky Mountain Psychological Association, Denver, Colorado, 1971.
- Swenson, R.P., & Hill, F.A. Effects of instruction and interstimulus interval in human GSR conditioning. Psychonomic Science, 1970, 21, 369-370.
- Swenson, R.P., Effects of stimulus prediction on response components of the galvanic skin response. Paper presented at the meeting of the Rocky Mountain Psychological Association, Albuquerque, New Mexico, 1972.

- Swenson, R.P., Effects of stimulus prediction on response components of the galvanic skin response. (Doctoral dissertation, University of Montana, 1972). Dissertation Abstracts International, 1972.
- Swenson, R.P., & Anderson, C. The Cottage 15 project: Development and preliminary findings. Paper presented at the meeting of the Montana Psychological Association, Missoula, 1973.
- Swenson, R.P., Hostetter, S., & Byrne, K. Reduction of self-injurious behavior in a mentally retarded adolescent using DRO and time out. 1973. (Mimeographed)
- Swenson, R.P., & O'Hara, N. An institution-based program for parents of the mentally retarded. Symposium presentation at the meeting of the Rocky Mountain Psychological Association, Denver, 1974. (Mimeographed)
- Siverts, G., Swenson, R.P., & Thompson, M.S. Attendant counselor attitudes toward "training" vs. "custodialism." Paper presented at the meeting of the Montana Psychological Association, Bozeman, 1974. (Mimeographed)
- Thompson, M.S., Siverts, G.F., & Swenson, R.P. Centralized data analysis: A systematic approach to program evaluation. Paper presented at the meeting of the Montana Psychological Association, Bozeman, 1974. (Mimeographed)
- Program Procedures Manual: A manual containing procedures of self-help skill training programs for use with the mentally retarded. (With Langworthy, et.al.). Boulder River School and Hospital, 1974.
- Seekins, T., Mackay, J., Langworthy, R., & Swenson, R.P. Training Guidelines: An Introduction to the Training Approach at Boulder River School and Hospital, 1975.
- Seekins, T., & Swenson, R.P. Problems in the implementation of package programs: the Foxx-Azrin rapid toilet training procedure. Paper presented at the meeting of the Montana Psychological Association, Billings, 1975. (Mimeographed)
- Swenson, R.P. A comparison of service delivery systems. Paper presented as part of a symposium ("Deinstitutionalization and Institutional Reform: Concurrent Development") at the meeting of the American Psychological Association, Chicago, 1975.
- Beslanwitch, J.J., and Swenson, R.P. Observations of a group home living environment using the modified MANIFEST, 1977. (Mimeographed)
- Swenson, R.P., & Seekins, T. The design of service delivery systems and rehabilitative environments for the developmentally disabled. Presentation at the Ninth Banff International Conference on Behavior Modification, Banff, Alberta, Canada, March, 1977. To be published in L. A. Hamerlynck (Ed.), Habilitation of the developmentally disabled. New York: Brunner/Mazel, 1978.

PROFESSIONAL REFERENCES:

John M. Atthowe, Jr., Ph.D.
Professor of Psychiatry
Rutgers University
New Brunswick, New Jersey 08903

Ray Foster
Kansas State Neurological Institute
Topeka, Kansas 66604

Leo A. Hamerlynck, Ed.D.
Developmental Disabilities Division
P.O. Box 4210
Helena, Montana 59601

R. Don Horner
Professional Program Development Unit
Department of Special Education
The University of Kansas
Lawrence, Kansas 66045

James A. Walsh, Ph.D.
Department of Psychology
University of Montana
Missoula, Montana 59801

State of Montana
Department of Institutions
and Department of
Social and Rehabilitation Services

No. 00-013



PERMANENT Certificate

Be it known that, RICHARD P. SWENSON is duly
qualified as a Professional Person in the field of Developmental Disabilities for the
purposes set forth in Section 38-1201 of the Revised Codes of Montana, 1947.

DATE JULY 1, 1976

Robert X. Mattson

Director, Department of Institutions

Richard E. Mahoney

Director, Department of Social and Rehabilitation Services



State of Montana
Board of Psychologist Examiners

Be it known that

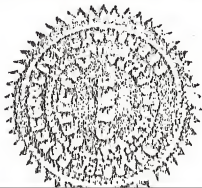
Richard P. Swenson, Ph.D.

has met all the requirements of this board and is hereby
licensed as a

Psychologist

In Witness Whereof, the Board grants this License Number 45
under its Seal and Signatures on the 7th day of March

Effective 1974



Chairman Philip Howard Gray
Vice Chairman [Signature]
Secretary [Signature]





COUNCIL FOR THE NATIONAL REGISTER
OF HEALTH SERVICE PROVIDERS IN PSYCHOLOGY

Richard H. Swenson, Ph.D.

HAS SATISFIED ALL REQUIREMENTS AS TO TRAINING AND EXPERIENCE
NECESSARY FOR THE ISSUANCE OF THIS CERTIFICATE AND IS
RECOGNIZED BY THIS COUNCIL AS A HEALTH SERVICE PROVIDER IN PSYCHOLOGY

CERTIFICATE NUMBER 17097

DATE: July 7, 1975

Philip H. Swenson
CHAIRMAN

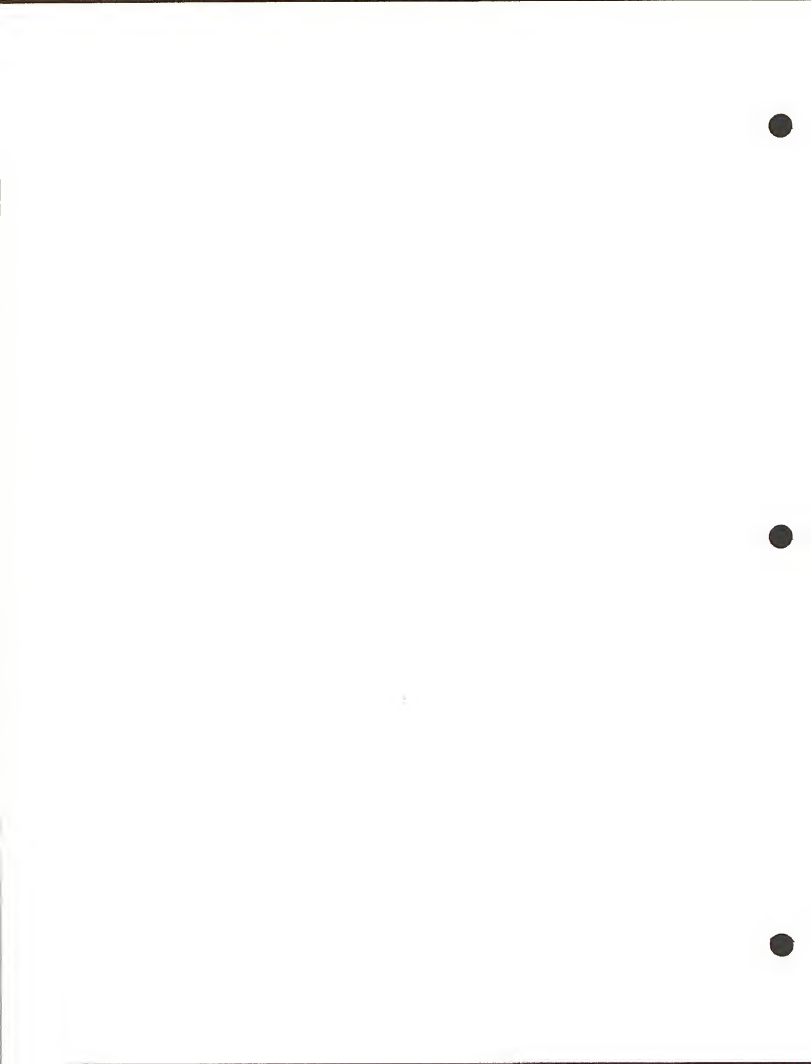
Debra E. Green
EXECUTIVE OFFICER



APPENDIX D

VITA

William J. Docktor Ph. D.



RESUMÉ

William J. Docktor
Phone (406) 243-6495 Office
(406) 728-2244 Home

600 Whitaker Dr Apt 2C
Missoula, Montana 59801

Education:

1. Pharm. D. University of Michigan August, 1977
2. B.S. Pharmacy North Dakota State University May, 1974

Professional Organizations:

1. American Society of Hospital Pharmacists
2. Montana Society of Hospital Pharmacists
3. American Association of Colleges of Pharmacy

Professional Licensure:

1. North Dakota
2. Indiana

Employment:

1. Assistant Professor of Clinical Pharmacy
University of Montana, Missoula, Montana 59812
September 12, 1977, to present
 - a. Developing and teaching of Pharmacy 539 (Therapeutics, 5 hours), Pharmacy 507 (Introduction to Clinical Pharmacy, 3 hours), and Pharmacy 508 (Topics in Pharmacy Practice, 2 hours). All of the above courses are required for graduation and all are team-taught by myself and one other faculty member.
 - b. Developing, administrating, and supervising Pharmacy 594 (Externship and Clinical Practicum, 15 hours). This is also a required course and involves practical experience for students in hospital and community distribution of drugs and direct patient and direct physician contact with the students.
 - c. Developing and providing drug information services, eventually to the entire state of Montana.
 - d. Developing clinical experience opportunities in local hospitals for student experience during externship(Pharmacy 594).
 - e. Aid in developing progressive pharmacy service programs in local hospitals.
 - f. Provide clinical pharmacy services in local hospitals.

- g. Help hospital and community pharmacists who participate in Pharmacy 594 to develop themselves as professionals.
 - h. Participate as a member of the continuing education committee of the School of Pharmacy to develop programs to meet the needs of Montana's Pharmacists.
 - i. Act as chairman of the School of Pharmacy's library committee.
 - j. Act as advisor for one-half of the fifth year pharmacy class.
2. Associate in Clinical Pharmacy
Washington State University, Pullman, Washington
October 1, 1975 to June 1, 1976

This was a half-time faculty appointment combined with a residency in clinical pharmacy. All teaching and residency experiences were obtained in Deaconess Hospital, Sacred Heart Medical Center, and Family Medicine Spokane, all located in Spokane, Washington.

- a. Teaching activities involved fifth-year pharmacy students during their practical experience course: formal lectures, formal and informal conferences, rounds, role model.
 - b. Provide clinical pharmacy services.
 - c. Provide nursing inservice education.
 - d. Provide formal conferences for medical interns and residents, other pharmacists, pharmacy students, and physicians.
3. Pharmacist
Osco Drug Inc. Elkhart, IN and Grand Forks, ND
June, 1974 to September, 1975

Retail pharmacy including dispensing, servicing a nursing home and Over-the-Counter consultation.

November 9, 1977